



## SECURITIES LAW UPDATE 2017

Chairs: **Simon Romano**, *Stikeman Elliott LLP*  
**Amanda Linett**, *Stikeman Elliott LLP*

**November 16, 2017**  
**9:00 a.m. to 1:00 p.m.**  
**CPD = 4 h Substantive**

**The Law Society of Upper Canada**  
**130 Queen Street West**  
**Toronto, ON**

**SKU CLE17-01106**

### **Agenda**



**9:00 a.m. – 9:05 a.m.**

#### **Welcome and Opening Remarks**

Simon Romano, *Stikeman Elliott LLP*

Amanda Linett, *Stikeman Elliott LLP*

**9:05 a.m. – 9:25 a.m.**

#### **Economic and Financial Outlook**

Sarah Howcroft, CFA, Economist, *BMO Capital Markets*

<b>9:25 a.m. – 9:55 a.m.</b>	<b>Securities Litigation and Class Actions Update</b>  Andrew Gray, <i>Torys LLP</i>  Dana Peebles, <i>McCarthy Tétrault LLP</i>
<b>9:55 a.m. – 10:25 a.m.</b>	<b>Corporate Finance Update</b>  Robert Fonn, <i>DLA Piper (Canada) LLP</i>  Grant McGlaughlin, <i>Goodmans LLP</i>
<b>10:25 a.m. – 10:35 a.m.</b>	<b>Question and Answer Session</b>
<b>10:35 a.m. – 10:50 a.m.</b>	<b>Coffee and Networking Break</b>
<b>10:50 a.m. – 11:20 a.m.</b>	<b>Robo-Advisers, Enhanced Obligations of Advisers, and the Option of Ending Embedded Commissions</b>  Darren McKall, Manager, Investment Funds and Structured Products Branch, <i>Ontario Securities Commission</i>  Lori Stein, <i>Osler, Hoskin &amp; Harcourt LLP</i>
<b>11:20 a.m. – 11:50 a.m.</b>	<b>M &amp; A Update</b>  John Ciardullo, <i>Stikeman Elliott LLP</i>  Emmanuel Pressman, <i>Osler, Hoskin &amp; Harcourt LLP</i>
<b>11:50 a.m. – 12:20 p.m.</b>	<b>Recent SEC Developments</b>  Christopher Cummings, <i>Paul, Weiss, Rifkind, Wharton &amp; Garrison LLP</i>  James Guttman, <i>Dorsey &amp; Whitney LLP</i>

<b>12:20 p.m. – 12:35 p.m.</b>	<b>Marijuana: Issues and Developments</b>  Sandra Gogal, <i>Miller Thomson LLP</i>
<b>12:35 p.m. – 12:50 p.m.</b>	<b>Creating and Selling Blockchain-based Tokens: Issues and Developments</b>  Addison Cameron-Huff, Tech Lawyer
<b>12:50 p.m. – 1:00 p.m.</b>	<b>Question and Answer Session</b>
<b>1:00 p.m.</b>	<b>Program Ends</b>